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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Patent application of

Naatz et al

Serial No.: 09/928,459

Atty. Dkt.: ANA211

Filed: August 14, 2001

For: WIDE-RANGE TOC INSTRUMENT USING PLASMA OXIDATION

Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application (copy enclosed) dated September 19, 2001, enclosed is an executed Declaration and Power of Attorney.

Also transmitted herewith for filing are the following:

- ___ Small Entity Declaration
- ___ Information Disclosure Statement
- X Assignment and Assignment Recordation Form
- X Check in the amount of \$40.00 to cover the Assignment Recordation Fee

X Petition for one-month Extension of Time, with check in the amount of \$110.00 to cover the same

X Check in the amount of \$1104.00, to cover the \$130.00 surcharge for late filing of the Declaration and Power of Attorney, and the filing fee, calculated as shown below:

(Col. 1)		(Col. 2)	(Col. 3)		ENTITY
TOTAL CLAIMS		CLAIMS INCLUDED IN BASIC FEE	PRESENT EXTRA	ADD'L RATE	
BASIC FEE					\$740.00
TOTAL	33	20	= 13 Extra	x 18	\$234.00
INDEP.	3	3	= 0 Extra	x 84	\$ 00.00
TOTAL					\$ 974.00

- X Substitute drawings, specifically, three (3) sheets of formal drawing, in conformity with 37 CFR 1.84.
- X The Commissioner is hereby authorized to charge any underpayment (or credit overpayment) to our Deposit Account No. 04-0401. A duplicate copy of this sheet is attached.

12/17/01
Dated

Respectfully submitted,



Michael de Angeli
Reg. No. 27,869
60 Intrepid Lane
Jamestown RI 02835
401-423-3190



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/928,459	08/14/2001	Ulf W. Naatz	ANA211

MICHAEL M. DE ANGELI, P.C.
ATTORNEY AT LAW
60 INTREPID LANE
JAMESTOWN, RI 02835



CONFIRMATION NO. 6458

FORMALITIES LETTER



OC000000006577556

Date Mailed: 09/19/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$234.
 - \$234 for 13 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1074.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE